## IAP3 Rec'd PCT/PTO 13 FEB 2006

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FORM PTO-1390 U.S. DEP (REV 10-94)	ARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER								
TRANSMITTAL LETTER T	10921.380USWO									
DESIGNATED/ELECTE	-									
CONCERNING A FILING	,									
CONCERUNION	S CIVE LIK 33 C.G.C. 37 I	U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)								
		Unknown / 5 68157								
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED								
РСТ/JP2004/01150	11 August 2004	14 August 2003								
TITLE OF INVENTION										
DIELECTRIC PORCELAIN COMPOSITION, MU	DIELECTRIC PORCELAIN COMPOSITION, MULTILAYER CERAMIC CAPACITOR, AND ELECTRONIC COMPONENT									
APPLICANT(S) FOR DO/EO/US										
KOGA et al.										
Applicant herewith submits to the United States Des	signated/Elected Office (DO/EO/US) the following	items and other information:								
1. [X] This is a FIRST submission of items conc	erning a filing under 35 U.S.C. 371.  VT submission of items concerning a filing under 3	511 S.C. 271								
	mination procedures (35 U.S.C. 371(f)) at any time									
examination until the expiration of the app	licable time limit set in 35 U.S.C. 371(b) and PCT	Articles 22 and 39(1).								
4. [ ] A proper Demand for International Pr	reliminary Examination was made by the 19th mon	th from the earliest claimed priority date.								
5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))										
a. [X] is transmitted herewith (required only if not transmitted by the International Bureau).										
b. [ ] has been transmitted by the International Bureau.										
c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US)  6. [X] A translation of the International Application into English (35 U.S.C. 371(c)(2)).										
	LAN Post of the DOT Amide 10 (25 U.S.C.	271(-)(2))								
7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. [ ] are transmitted herewith (required only if not transmitted by the International Bureau).										
b.   have been transmitted by the International Bureau.										
c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.										
d. [X] have not been made and will not be made.										
8. [ ] A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).										
9. [X] A signed oath or declaration of the invento	9. [X] A signed oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).									
10. [ ] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36										
(35 U.S.C. 371(c)(5)).										
Items 11. to 16. below concern document(s) or inf	formation included:									
11. [X] An Information Disclosure Statement under	er 37 CFR 1.97 and 1.98, Form 1449, 9 references.									
12. [X] An assignment document for recording. A	separate cover sheet in compliance with 37 CFR 3	.28 and 3.31 is included.								
[X] A FIRST preliminary amendment.     [ ] A SECOND of SUBSEQUENT preliminary amendment.										
	mma y amendment.									
14. [ ] A substitute specification.										
15. [ ] A change of power of attorney and/or	address letter.									
16. [X] Other items or information: International Publication Page, Application Data Sheet, Form PCT Request, Form PCT/ISA/210, Form PCT/iB/304, 5 Sheets of Formal Drawings										

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	(IFknown) ( IF R ) 5)			INTERNATIONAL PARCETOR IN CONTROL OF THE PROPERTY OF THE PROPE		10921.380USWO		
Unknown	_	PCT/JP2004/011550				10921.38003WO		
BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)): [X] a) Basic National fee\$300.00					\$300.00			
[X] b) Examinatio	n fee		•••••		\$200.00	\$200.00		
[X] c) Search fee\$500.00						\$500.00		
TOTAL OF ABOVE CALCULATIONS =						\$1000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	E	fraction		of each additional 50 or thereof (round <b>up</b> to a whole number	Rate			
31 -100 =	0	/50 =			X \$250.00	\$0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$0		
CLAIMS		NUMBER FILED		NUMBER EXTRA	RATE			
Total claims		6	-20 =	0	X \$50.00	\$0.00		
Independent claim	IS	1	-3 =	0	X \$200.00	\$0.00		
MULTIPLE DEPI	ENDE	NT CLAIM(S)	(if applicabl	e)	+ \$360.00	\$		
TOTAL OF ABOVE CALCULATIONS =						\$1000.00		
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27					\$			
SUBTOTAL =					\$1000.00			
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f).					\$			
TOTAL NATIONAL FEE =					\$1000.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$40.00			
TOTAL FEES ENCLOSED =					\$1040.00			
						Amount to be: refunded	s	
						charged	\$	
a. [X] Check(s)	in the	amount of \$10	00.00 and \$	40.00 to cover the above 1	ees is enclosed.			
b. [ ] Please charge the amount of \$ to cover the required filing fee for a large entity to the credit card listed on the enclosed credit card authorization form.								
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any								

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

send all correspondence to: Douglas P. Mueller

Hamre, Schumann, Mueller & Larson, P.C.

P.O. Box 2902-0902

Minneapolis, MN 55402

SIGNATURE:

NAME: Douglas Mueller

**REGISTRATION NUMBER: 30,300** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 0/568157

Applicant:

KOGA et al.

IAP20 Rec'd PCT/FTO 13 FEB 2006

Docket:

10921.380USWO

Title:

DIELECTRIC PORCELAIN COMPOSITION, MULTILAYER CERAMIC

CAPACITOR, AND ELECTRONIC COMPONENT

**CERTIFICATE UNDER 37 CFR 1.10**:

"Express Mail" mailing label number: EV858802170US

Date of Deposit: 13 FEBRUARY 2006

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to MAIL STOP PCT, Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

By:

Name: Abbie Larkin

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- National Stage PCT Patent Application: Spec. 23 pgs; Claims 2 pgs; Abstract 1 pgs. The fee has been calculated as shown below in the 'Claims as Filed' table.
- A signed Combined Declaration and Power of Attorney
- Assignment of the invention to ROHM CO., LTD., Recordation Form Cover Sheet
- Checks in the amount of \$1000.00 to cover the Filing Fee and \$40.00 to cover the Assignment Recording Fee
- ☑ Information Disclosure Statement, Form 1449, 9 reference(s).
- Application Data Sheet, 4 pages.
- Other: International Publication Page, Courtesy Copy of PCT/JP2004/011550 in Japanese, Preliminary Amendment, Form PCT Request, Form PCT/ISA/210, Form PCT/IB/304
- Return postcard

## **CLAIMS AS FILED**

Number of Claims Filed	No.	In Excess of	Extra	Rate		Fee
Total Claims	6	20	0	50.00	II	0.00
Independent Claims **	1	3	0	200.00	=	0.00
Multiple Dependent Claims Fee					=	0.00
Basic Filing Fee					=	300.00
Search Fee					=	500.00
Examination Fee					=	200.00
Utility Application Size Fee	31	100	0	250.00	=	0.00
,						
Total					=	\$1000.00

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C. P.O. Box 2902-0902 Minneapolis, MN 55402 612.455-3800

Name: Douglas P. Mueller

Reg. No. 30,.00 Initials: DPM/hjm

52835
PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)